Finity360™ TRACKER

NATIVE SALESFORCE WORKFLOW

PRODUCT OVERVIEW

Advisor transitions and investor communications in one Salesforce-native workflow

Move books of business with fewer NIGOs on day one. Then prove every required investor communication was delivered and acknowledged on the same client records.

Transitions still run on spreadsheets, rekeyed forms and wet signatures. After the move, disclosures and notices often go out with no record of whether clients received or accepted them. Finity360 Tracker runs both workflows inside Salesforce, so transition data powers every delivery that follows.

TWO WORKFLOWS. ONE SET OF RECORDS.

ADVISOR TRANSITIONS + INVESTOR COMMUNICATIONS

Pre-fill and generate

Use approved CRM data to build required and optional forms by account type, business line and firm rules.

Validate and route

Check completeness and surface exceptions before a transition packet goes out.

Sign and track

Support e-signature or print-ready wet signature and track each packet through submission.

Deliver and trigger

Send required communications digitally by event or on a schedule.

Confirm acceptance

Capture client acknowledgment and route non-consenting clients to print and mail.

Maintain the audit trail

Keep every send and acceptance searchable on the client record.

DESIGNED FOR RIAs, BROKER-DEALERS, TRANSITION TEAMS, OPERATIONS AND COMPLIANCE

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HOW IT WORKS

From configuration to confirmation.

Configure firm rules, gather approved data, generate account-specific packets, complete signatures and then manage every investor communication on the same Salesforce records.

TRANSITION WORKFLOW

01 Configure

Set affiliation targets, business lines, required forms, rules and owners.

02 Gather and validate

Import approved data, flag missing fields and surface exceptions early.

03 Generate packets

Assemble account-specific bundles with required and optional forms.

04 Sign

Route for e-signature or prepare print-ready packages for wet signature.

05 Submit and track

Monitor packet status and clear exception queues through completion.

06 Deliver and confirm

Send ongoing investor communications and record each client's acknowledgment.

TARGET OUTCOMES Arcus estimates

3 days Signature-ready packets

60% fewer NIGOs

80% Delivered and acknowledged without paper

BUILT NATIVELY ON SALESFORCE

Runs in your org

Transition data and dashboards stay on Financial Services Cloud records.

E-signature with DocuSign

Add print-ready packets when a wet signature is required.

Forms autofill with Quik!

Pre-fill custodian and firm forms from Salesforce data instead of rekeying.

Your storage, your keys

Store documents in your firm's AWS S3 with customer-owned keys and audit visibility.

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